

WEST BASIN MUNICIPAL WATER DISTRICT

Disposition Table

This West Basin Municipal Water District Administrative Code was adopted on January 20, 2016, by Resolution No. 1-16-1024. This Code replaces an earlier Administrative Code. This Code may be revised by Resolution. Revisions to the Administrative Code will be indicated by an endnote in the Administrative Code and listed on this Disposition Table.

| <u>Adopted</u> | <u>Res. No.</u> | <u>Section</u> | <u>Description</u> |
|----------------|-----------------|-----------------|--|
| 01/20/16 | 1-16-1024 | Entire Code | Adopted Administrative Code |
| 02/22/16 | 2-16-1027 | § 4-1.301 | Added paragraph (f)(1) to Small and Community Banks Policy |
| | | § 4-1.403 | Made grammar changes to Designated Funds |
| | | § 4-1.404 | Made grammar changes to Types of Funds |
| | | § 4-1.406 | Made grammar changes to Description of Funds and added language to Standby Charge Defeasance Fund |
| | | § 4-1.407 | Made grammar changes to Objectives under Designated Funds with |
| | | § 4-1.502 | Deleted paragraph three re changes in capital markets and swap transactions authorization |
| | | § 4-1.504 | Made grammar changes to Conformance with Dodd-Frank |
| | | § 4-1.505 | Made grammar changes to Evaluation of Proposed Transactions |
| | | § 4-1.506 | Amended ratings under Qualified Swap Counterparties |
| | | § 4-1.507 | Made grammar changes to Counterparty Termination Exposure |
| | | § 4-1.509 | Made grammar changes to Term and Notional Amount of Swap Agreement |
| | | § 4-1.512 | Made grammar changes Amendment or Assignment of Swap Transaction or Swap Agreement |
| | | § 4-1.601 | Made grammar changes to Purpose of Debt Management Policy |
| | | § 4-1.605 | Fixed typo |
| | | § 4-1.606 | Fixed formatting |
| | | § 4-1.607 | Made grammar change to Debt Structure Considerations |
| | | § 4-1.613 | Fixed typo |
| 03/29/16 | 3-16-1029 | § 2-1.306 | Code of Conduct – changes made as follows: |
| | | § 2-1.306(s)(1) | Added and defined “approved meetings.” |
| | | § 2-1.306(s)(4) | Added that parades, festivals, holiday events, retirement dinners, “not preapproved by the Board” are non-compensable meetings |

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|----------------|-----------------|----------------------------------|--|
| | | § 2-1.306(u) | Changed "Non-District Sponsored Events" to "Community Outreach Events." |
| | | Exhibit 1 to | Made additions to the "West Basin Approved Per Diem Code of Conduct Meetings" |
| 06/27/16 | 6-16-1040 | § 4-1.301 | Amended Small and Community Bank Investment Program Policy |
| 07/25/16 | 7-16-1042 | § 1-1.101 | Made grammatical corrections regarding Title |
| | | § 1-1.103 | Amended regarding Maintenance of Code |
| | | § 1-2.102 | Amended regarding Reference to Code, Ordinances or Resolutions |
| | | § 1-2.105 | Amended regarding Definitions |
| | | § 2-1.102 | Amended regarding Appointments |
| | | § 2-1.103 | Amended regarding Oath of Office |
| | | § 2-1.303 | Amended regarding Duties and Role of Board Officer |
| | | § 2-1.305 | Amended regarding Compensation |
| | | § 2-1.401 | Amended regarding Meetings and Minutes (General) |
| | | § 2-1.402 | Amended regarding Regular and Special Meetings |
| | | § 2-1.403 | Amended regarding Record of Proceedings |
| | | § 2-1.404 | Amended regarding Rules of Conduct |
| | | § 2-1.405 | Amended regarding Agenda |
| | | § 2-1.406 | Amended regarding Closed Sessions |
| 08/22/16 | 8-16-1046 | § 5-1.102 | Amended regarding Definition of Terms (Procurement Policy) |
| | | § 5-1.103 | Amended regarding Procurement Solicitation Process |
| | | § 5-1.104 | Amended regarding Procurement Authority of Goods and Services |
| | | § 5-1.105 | Amended regarding Procurement Emergency Purchases and Services |
| | | § 5-1.107 | Added regarding Single Source Procurement Over Threshold |
| | | § 5-1.108 | Renumbered from 5-1.107 regarding Contract Time Extensions |
| | | § 5-1.109 | Renumbered from 5-1.108 regarding Policy Compliance |
| | | § 5-1.110 | Renumbered from 5-1.109 regarding Exceptions to This Policy |
| 08/22/16 | 08-16-1048 | Article 9 of Chapter 1 of Part 4 | Article 9. Disclosure Procedures added to Chapter 1 of Part 4. Financial Matters |
| 09/30/16 | 9-16-1049 | § 5-4.102 | Amended regarding Procedure for Disposal of Surplus Property |

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|----------------|-----------------|--|--|
| 09/30/16 | 9-16-1050 | § 2-1.306 § 2-1.306(o)(3)(iii) § 2-1.306(u)(4)(iii) § 2-1.306(q) | Code of Conduct changes made as follows: Rental Vehicles; Limitations regarding Community Outreach Events; and Reimbursement of Expenses – Procedure (first paragraph only). |
| 11/28/16 | 11-16-1053 | Article 1, of Ch. 1, of Part 4. | Amended Article 1. General of Chapter 1. Administrative Matters of Part 4. Financial Matters |
| 02/27/17 | 2-17-1057 | § 4-1.501 § 4-1.507 § 4-1.514 | Amending Swap Policy Amending Counterparty Termination Exposure Amending Definitions |
| 02/27/17 | 2-17-1058 | § 4-1.601 § 4-1.602 § 4-1.604 § 4-1.605 § 4-1.606 § 4-1.607 § 4-1.609 § 4-1.614 § 4-1.615 § 4-1.616 | Amending Purpose of Debt Management Policy Amending Goals and Objectives Amending Long-Range Financial Plan Amending Debt Financing Amending Debt Refinancing Amending Debt Structure Considerations Amending Investment of Proceeds Amending Reporting Requirements Amending Definitions Adding SB 1029 Compliance |
| 02/27/17 | 2-17-1059 | § 4-1.802 § 4-1.805 § 4-1.806 § 4-1.807 § 4-1.808 | Amending Background (Standby Charge Policy) Amending Definitions Amending Annual Review of the Standby Charge Amending Consideration of Standby Charge Continuation Amending Annual Reporting Requirement |
| 02/27/17 | 2-17-1060 | § 5-1.103(e) § 5-1.103(f) | Amending Prequalification of Bidders Adding Exceptions to Competitive Solicitation Requirements |
| 03/20/17 | 3-17-1063 | Part 7 | Amended Records Management |
| 03/30/17 | 3-17-1065 | § 4-1.209 § 4-1.222 | Amended Authorized and Suitable Investments Amended Definitions |
| 03/30/17 | 3-17-1066 | § 2-1.404 | Amended Rules of Conduct |
| 10/23/17 | 10-17-1075 | § 4-1.301 | Amending Small & Community Bank Invest. Program Policy |
| 10/23/17 | 10-17-1076 | § 5-5.101 | Addition of Chapter 5. Acquisition of Real Property Interests |
| 11/27/17 | 11-27-1077 | § 2-1.306(n) | Amend Code of Conduct -Travel Authorization |

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| 11/27/17 | 11-17-1078 | § 4-1.301 | Amend Small & Community Bank Invest. Program Policy |
| 02-26-18 | 2-18-1079 | § 4-3.101 | Amend Conflict of Interest: Disclosure Code |
| 02-26-18 | 02-18-1080 | § 4-1.206 | Amend Investment Procedures |
| 02-26-18 | 02-18-1080 | § 4-1.209 | Amend Authorized and Suitable Investments |
| 02-26-18 | 02-18-1080 | § 4-1.212 | Amend Safekeeping and Custody |
| 02-26-18 | 02-18-1080 | § 4-1.213 | Amend Diversification |
| 02-26-18 | 02-18-1080 | § 4-1.214 | Amend Maximum Maturities |
| 02-26-18 | 02-18-1080 | § 4-1.215 | Amend Investment Transactions |
| 02-26-18 | 02-18-1080 | § 4-1.216 | Amend Exchange of Securities |
| 02-26-18 | 02-18-1080 | § 4-1.217 | Amend Internal Control |
| 02-26-18 | 02-18-1080 | § 4-1.218 | Amend Performance Standards |
| 02-26-18 | 02-18-1080 | § 4-1.219 | Amend Reporting |
| 02-26-18 | 02-18-1080 | § 4-1.220 | Amend Investment Policy Adoption |
| 02-26-18 | 02-18-1080 | § 4-1.221 | Amend Definitions |
| 02-26-18 | 02-18-1081 | §4-1.601 | Amend Purpose |
| 02-26-18 | 02-18-1081 | §4-1.602 | Amend Goals and Objectives |
| 02-26-18 | 02-18-1081 | §4-1.604 | Amend Long-Range Financial Plan |
| 02-26-18 | 02-18-1081 | §4-1.605 | Amend Debt Financing |
| 02-26-18 | 02-18-1081 | §4-1.606 | Amend Debt Refinancing |
| 02-26-18 | 02-18-1081 | §4-1.607 | Amend Debt Structure Considerations |
| 02-26-18 | 02-18-1081 | §4-1.609 | Amend Investment of Proceeds |
| 02-26-18 | 02-18-1081 | §4-1.614 | Amend Reporting Requirements |
| 02-26-18 | 02-18-1081 | §4-1.615 | Amend Definitions |
| 02-26-18 | 02-18-1081 | §4-1.616 | Amend SB 1029 Compliance |
| 02-26-18 | 02-18-1081 | §4-1.617 | Add Compliance with CDIAC Regulations |
| 02-26-18 | 01-18-1082 | §5-2.101 | Amend Policy |
| 02-26-18 | 01-18-1082 | §5-2.102 | Amend Definition of Terms |
| 02-26-18 | 01-18-1082 | §5-2.103 | Add Vendor Registration and Certification |
| 02-26-18 | 01-18-1082 | §5-2.104 | Amend and Renumbered "Goods" section. |

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|----------------|-----------------|-----------------------------|---|
| 02-26-18 | 01-18-1082 | §5-2.105 | Amend and Renumbered "Professional Services" section |
| 02-26-18 | 01-18-1082 | §5-2.106 | Amend and Renumbered "Non-Professional Services" section |
| 02-26-18 | 01-18-1082 | §5-2.107 | Amend and Renumbered "Public Works Construction" section |
| 02-26-18 | 01-18-1082 | §5-2.108 | Add "Sheltered Bidding" section |
| 02-26-18 | 01-18-1082 | §5-2.109 | Add "SBE and LBE Participation Requirements" section |
| 02-26-18 | 01-18-1082 | §5-2.110 | Add "Utilization of Small Business Enterprises and Local Business Enterprise" section |
| 02-26-18 | 01-18-1082 | §5-2.111 | Add "Contract Amendments" section |
| 02-26-18 | 01-18-1082 | §5-2.112 | Add "Contract Compliance" section |
| 02-26-18 | 01-18-1082 | §5-2.113 | Add "Periodic Review" section |
| 03-29-18 | 03-18-1083 | §5-6.101- §5-6.112 | Add Chapter 6 – Facilities Use Policy to Part 5 - Property |
| 4-23-18 | 4-18-1088 | §4-1.805 | Amend Definitions |
| 4-23-18 | 4-18-1088 | §4-1.806 | Amend Annual Review of Standby Charge |
| 4-23-18 | 4-18-1088 | §4-1.807 | Amend Consideration of Standby Charge Continuation |
| 6-25-18 | 6-18-1090 | §5-1.103(g) | Subsection "Rejection of all Bids and Proposals" added |
| 6-25-18 | 6-18-1091 | §6-1.101 | Amend General |
| 6-25-18 | 6-18-1091 | §6-2.101 | Amend and rename section |
| 6-25-18 | 6-18-1091 | §6-2.102 | Amend and rename section |
| 6-25-18 | 6-18-1091 | §6-2.103 | Amend and rename section |
| 6-25-18 | 6-18-1091 | §6-2.104 – §6-2.110 | Sections added to Chapter 2. CEQA |
| 5-29-19 | 5-19-1100 | §2-1.306(a) | Amend Ethic Committee |
| 1-27-20 | 01-20-1107 | §4-1.502 and 4-1.511 | Amend The Swap Policy |
| 1-27-20 | 01-20-1108 | §4-1.209 and 4-1.221 | Amend The Investment Policy |
| 4-27-20 | 04-20-1110 | §2-1.306 and 2-1.306 (u) | Amend Per Diem Meetings and Outreach Programs |
| 7-27-20 | 07-20-1115 | §5-1.101- §5-1.110 | Amend Procurement Policy |

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| 7-27-20 | 07-20-1116 | §2-1.306 "Exhibit 1" | Amend Per Diem Meetings |
| 9-28-20 | 09-20-1118 | §5-2.101- 4-2.113 | Amend Small and Local Business Enterprise Program |
| 10-26-20 | 10-20-1119 | §2-1.306 | Amend Code of Conduct |